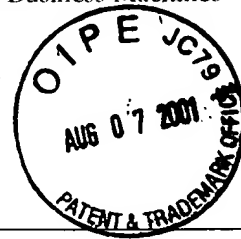


#5
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Terry M. Bleizeffer, et al Assignee: International Business Machines Corporation
Serial No.: 09/248,736 Examiner: Not assigned
Filed: February 11, 1999 Group Art Unit: 2771
Docket: ST9-98-029
Title: CREATION OF CUSTOMIZED TREES



CERTIFICATE OF MAILING OR TRANSMISSION UNDER 37 CFR 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on 8/3/01

By: fxH/A

Name: George H. Gates

REVOCATION AND POWER OF ATTORNEY

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AUG 09 2001

Technology Center 2100

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

Please revoke the existing Powers of Attorney, if any, and appoint the following attorneys and/or patent agents to prosecute this application and to transact all business in the U.S. Patent and Trademark Office in connection therewith:

Romualdas Strimaitis, Reg. No. 35,697; Prentiss W. Johnson, Reg. No. 33,123; Ingrid M. Foerster, Reg. No. 36,511; Gregory M. Plow, Reg. No. 43,005; Farrokh E. Pourmirzaie, Reg. No. 45,297; Christine H. Smith, Reg. No. 43,133; Christopher A. Hughes, Reg. No. 26,914; John E. Hoel, Reg. No. 26,279; Stanley B. Green, Reg. No. 24,351; and Joseph C. Redmond, Jr., Reg. No. 18,753, all of whom are attorneys with I.B.M. Corporation;

George H. Gates, Reg. No. 33,500; Victor G. Cooper, Reg. No. 39,641; Karen S. Canady, Reg. No. 39,927; William J. Wood, Reg. No. 42,236; Jason S. Feldmar, Reg. No. 39,187, and Bradley K. Lortz, Reg. No. 45,472, all of whom are attorneys with Gates & Cooper LLP.

Please direct all correspondence in this application to George H. Gates at:

Gates & Cooper LLP
Howard Hughes Center
6701 Center Drive West, Suite 1050
Los Angeles, California 90045
Telephone: (310) 641-8797

Respectfully submitted,

I.B.M. Corporation

Romualdas Strimaitis
Name: Romualdas Strimaitis, Reg. No. 35,697
Title: Counsel, Intellectual Property Law

Dated: July 11, 2001

Silicon Valley Laboratory
555 Bailey Avenue J46/G4
San Jose, California 95141-1003



2771
2771

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Terry M. Bleizeffer et al. Examiner: Not yet assigned
Serial No.: 09/248,736 Group Art Unit: 2771
Filed: February 11, 1999 Docket: ST9-98-029
Title: CREATION OF CUSTOMIZED TREES

CERTIFICATE OF MAILING OR TRANSMISSION UNDER 37 CFR 1.8

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By: George H. Gates
Name: George H. Gates

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Commissioner for Patents
Washington, D.C. 20231

AUG 09 2001

Technology Center 2100

Dear Sir:

We are transmitting herewith the attached:

- ☒ Transmittal sheet, in duplicate, containing a Certificate of Mailing under 37 CFR 1.8.
- ☒ Revocation and Power of Attorney including Certificate Under 3.73(b) and Copy of Assignment.
- ☒ Return postcard.

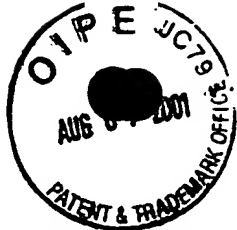
Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers, if appropriate.

Please charge all fees to Deposit Account No. 09-0460 of IBM Corporation, the assignee of the present application. A duplicate of this paper is enclosed.

GATES & COOPER LLP
Howard Hughes Center
6701 Center Drive West, Suite 1050
Los Angeles, CA 90045
(310) 641-8797

By: George H. Gates
Name: George H. Gates
Reg. No.: 33,500
GHG/sjm

(PTO TRANSMITTAL - GENERAL)



S/N 09/248,736

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	Terry M. Bleizeffer, et al	Examiner:	Not assigned
Serial No.:	09/248,736	Group Art Unit:	2771
Filed:	February 11, 1999	Docket:	ST9-98-029
Title:	CREATION OF CUSTOMIZED TREES		

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CERTIFICATE UNDER 37 C.F.R. § 3.73(b)

INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation organized and existing under the laws of the State of New York, having a place of business at Armonk, New York 10504, certifies that it is the assignee of the entire right, title, and interest in the patent application identified above by virtue of:

An assignment from the inventor(s), Terry Michael Bleizeffer, Allan Eugene Gillespie, Eileen Felicia Kopp, Tony Kai-Chi Leung, and Stan Wong, to International Business Machines Corporation, of the patent application identified above. The assignment was filed in the United States Patent and Trademark Office on February 11, 1999, at Reel 9762, Frame 0797 (copy attached).

International Business Machines Corporation owns 100% interest in the above-identified patent application.

The undersigned (whose title is supplied below) is empowered to act on behalf of the assignee.

I hereby declare that all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true; and further, that these statements are made with the knowledge that willful false statements, as the like so made, are punishable by fine or imprisonment, or both, under Section 1001, Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Date: July 11, 2001

By: Romualdas Srimaitis
Name: Romualdas Srimaitis, Reg. No. 35,697
Title: Counsel, Intellectual Property Law



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office

ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

MAY 12, 1999

PTAS

MERCHANT, GOULD, SMITH, ET AL.
JANAKI KOMANDURI
WESTWOOD GATEWAY II, SUITE 400
11150 SANTA MONICA BLVD.
LOS ANGELES, CA 90025-3395



100968262A

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AUG 09 2001

UNITED STATES PATENT AND TRADEMARK OFFICE Technology Center 2100
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 02/11/1999

REEL/FRAME: 9762/0797
NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

BLEIZEFFER, TERRY MICHAEL

DOC DATE: 02/10/1999

ASSIGNOR:

GILLESPIE, ALLAN EUGENE

DOC DATE: 01/31/1999

ASSIGNOR:

KOPP, EILEEN FELICIA

DOC DATE: 01/28/1999

ASSIGNOR:

LEUNG, TONY KAI-CHI

DOC DATE: 01/28/1999

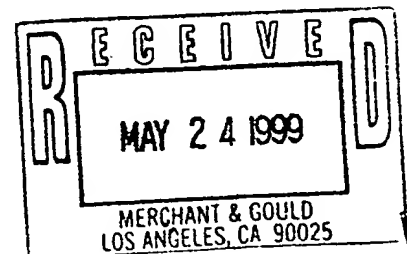
ASSIGNOR:

WONG, STAN

DOC DATE: 01/26/1999

ASSIGNEE:

INTERNATIONAL BUSINESS MACHINES
CORPORATION
ARMONK, NEW YORK 10504



9762/0797 PAGE 2

SERIAL NUMBER: 09248736
PATENT NUMBER:

FILING DATE: 02/11/1999
ISSUE DATE:

KIMBERLY WHITE, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS



02-22-1999

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

100968262

To the Commissioner of Patents and Trademarks: Please send this document to:

1. Name of conveying party(ies):

- (1) Terry Michael Bleizeffer
- (2) Allan Eugene Gillespie
- (3) Eileen Felicia Kopp
- (4) Tony Kai-chi Leung
- (5) Stan Wong

2. Name and address of receiving party(ies):

International Business Machines Corporation
Armonk, New York 10504Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ NoAdditional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
- ☐ Security Agreement ☐ Change of Name
- ☐ Other:

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AUG 09 2001

Execution Date: (1) 2/10/99; (2) 1/31/99; (3), (4) 1/28/99;
(5) 1/26/99

Technology Center 2100

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: (1) 2/10/99; (2) 1/31/99;
(3), (4) 1/28/99; (5) 1/26/99

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Janaki Komanduri
Address: Merchant, Gould, Smith, Edell,
Welter & Schmidt
Westwood Gateway II, Suite 400
11150 Santa Monica Blvd.
Los Angeles, CA 90025-3395

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): \$40. **E**
- ☒ Enclosed
 - ☐ Authorized to be charged to deposit account

8. Please charge any additional fees or credit any overpayments to our Deposit account number: 13-2724

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9. Statement and signature:

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Janaki Komanduri

Name of Person Signing

Janaki Komanduri
Signature

February 11, 1999

Date

Total number of pages including cover sheet, attachments, and document: 3

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Public burden reporting for this sample cover sheet is estimated to average about 30 minutes per document to be recorded, including time for reviewing the document and gathering the data needed, and completing and reviewing the sample cover sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Office of information systems, PK2-1000C, Washington, D.C. 20231, and to the Office of Management and Budget, Paperwork Reduction Project (0651-0011), Washington, D.C. 20503.

02/18/1999 JWA/TK/115 09248736

01 FC:581

Whereas, we

INVENTOR
AND CITY

- (1) Terry Michael Bleizeffer
County of Santa Cruz
- and (2) Allan Eugene Gillespie
County of Contra Costa
- and (3) Eileen Felicia Kopp
County of Santa Clara
- and (4) Tony Kai-chi Leung
County of Santa Clara
- and (5) Stan Wong
County of Santa Clara

of Santa Cruz,
and State of California,

of Danville,
and State of California,

of Gilroy,
and State of California,

of San Jose,
and State of California,

of San Jose,
and State of California,

have invented certain improvements in

TITLE

CREATION OF CUSTOMIZED TREES

DATES THAT
INVENTORS
SIGNED THE
DECLARATION

and executed, respectively, a United States patent application therefor on

(1) February 10, 1999, (2) January 28, 1999, (3) Jan 28, 1999,
(4) January 28, 1999, (5) January 26, 1999.

Whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York 10504, (hereinafter called IBM), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, we, the above named, hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and we request the Commissioner of Patents to issue any Letters Patent granted upon the inventions set forth in said application to IBM, its successors and assigns; and we hereby agree that IBM may apply for foreign Letters Patent on said invention and we will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

Signed.

CITY
DATE

(1) at San Jose
on February 10, 1999,

Terry Michael Bleizeffer SIGNATURE
INVENTOR

CITY
DATE

and (2) at _____, 1999,
on _____

Allan Eugene Gillespie SIGNATURE
INVENTOR

CITY
DATE

and (3) at San Jose
on Jan 28, 1999,

Eileen F. Kopp SIGNATURE
INVENTOR

CITY
DATE

and (4) at San Jose
on Jan 28, 1999,

Tony Kai-chi Leung SIGNATURE
INVENTOR

CITY
DATE

and (5) at San Jose
on January 26, 1999,

Stan Wong SIGNATURE
INVENTOR

Whereas, we

INVENTOR
AND CITY

(1)	Terry Michael Bleizeffer	of Santa Cruz,
	County of Santa Cruz	and State of California,
and (2)	Allan Eugene Gillespie	of Danville,
	County of Contra Costa	and State of California,
and (3)	Eileen Felicia Kopp	of Gilroy,
	County of Santa Clara	and State of California,
and (4)	Tony Kai-chi Leung	of San Jose,
	County of Santa Clara	and State of California,
and (5)	Stan Wong	of San Jose,
	County of Santa Clara	and State of California,

have invented certain improvements in

TITLE

CREATION OF CUSTOMIZED TREES

DATES THAT
INVENTORS
SIGNED THE
DECLARATION

and executed, respectively, a United States patent application therefor on

(1)	_____	, 1999,	(2)	<u>Jan 31</u>	, 1999,	(3)	_____	, 1999,
(4)	_____	, 1999,	(5)	_____	, 1999.			

Whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York 10504, (hereinafter called IBM), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, we, the above named, hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and we request the Commissioner of Patents to issue any Letters Patent granted upon the inventions set forth in said application to IBM, its successors and assigns; and we hereby agree that IBM may apply for foreign Letters Patent on said invention and we will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

Signed

CITY	(1) at _____	_____	SIGNATURE
DATE	on _____, 1999,	Terry Michael Bleizeffer	INVENTOR
CITY	and (2) at <u>Danville</u>	<u>Allan Eugene Gillespie</u>	SIGNATURE
DATE	on <u>Jan 31</u> , 1999,	Allan Eugene Gillespie	INVENTOR
CITY	and (3) at _____	_____	SIGNATURE
DATE	on _____, 1999,	Eileen Felicia Kopp	INVENTOR
CITY	and (4) at _____	_____	SIGNATURE
DATE	on _____, 1999,	Tony Kai-chi Leung	INVENTOR
CITY	and (5) at _____	_____	SIGNATURE
DATE	on _____, 1999,	Stan Wong	INVENTOR

DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION

DOCKET: ST9-98-029

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name;

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

CREATION OF CUSTOMIZED TREES

the specification of which (check one)

☒ is attached hereto.
☐ was filed on _____
☐ as Application Serial No. _____
☐ and was amended on _____ (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, Section 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s)			Priority Claimed	
<input type="checkbox"/> None			<input type="checkbox"/> Yes	<input type="checkbox"/> No
(Number)	(Country)	(Day/Month/Year Filed)		
<input type="checkbox"/>				

I hereby claim the benefit under Title 35, United States Code, Section 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, Section 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56, which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

<input type="checkbox"/> None			
(Application Serial No.)	(Filing Date)	(Status)	(patented, pending, abandoned)
<input type="checkbox"/>			

I hereby claim the benefit under Title 35, United States Code § 119(e) of the United States provisional application listed below:

U.S. Provisional Application No. 60/081,397, filed April 10, 1998.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.